

Audit Committee – Action Plan

Agreed Action	Person Responsible	Target Date	Outcome / Progress
Briefing papers occasionally for Programme Centre : ~ Risk Management ~ Key projects and support areas	-	-	Keep on work plan as reminder
Following activities to be scheduled on work plan for 2012: Counter Fraud – next progress report to include: 1. Fighting Fraud Locally, National Fraud Authority 2. Working with the Economic Crime Unit			
Residential Orders & Regulation 38 payments update			
Completed Actions			

Audit Committee – Work Plan

Appendix B

23rd April 2012	11th June 2012	9th July 2012	24th September 2012	12th November 2012
1. Adult Social Care Update	1. Head of Audit Annual Report 2011/12	1. Update counter fraud policy including Bribery Act	1. Internal Audit Progress Report	1. Annual Audit Letter – External Audit
2. Internal Audit Annual Plan 2012/13	2. Counter Fraud Annual Report 2011/12	2. Regulation of Investigatory Powers Act (RIPA)	2. Progress of Counter Fraud Plan	2. New External Audit Arrangements
3. ISA 240 questions around fraud and internal controls - 2011/12	3. Risk Management Annual Report 2011/12	3. Compromise Agreements	3. Analysis of outstanding high recommendations	
4. Strategic Risk Register	4. Review of Governance Arrangements and development of Annual Governance Statement 2012	4. Draft Financial Statements 2011/12	4. Whistleblowing Annual Report	
5. Revised Risk Management Strategy	5. LCC Assurance Map	5. Schools Control Environment	5. Programme on Risk Management	
6.	6. External Audit progress Report		6. Financial Statement Approval	
7.	7. Audit Committee work plan	Training in the morning and Committee in the afternoon	7. Regulation of Investigatory Powers Act (RIPA)	

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8.	8. Register of Interests & Gifts and Hospitality		8.	
9.	9. Review of effectiveness of system of Internal Audit			
	10. Audit Committee Annual Report 2011/12			
Audit Committee work plan Workshop Event in the Afternoon: ~ Standards, Risk Appetite; and ~ Executive Directors;	Audit Committee Work Plan	Audit Committee Work Plan	Audit Committee Work Plan	Audit Committee Work Plan

Future Meeting dates:

17th December 2012

28th January 2013

18th March 2013

22nd April 2013

24th June 2013

22nd July 2013

23rd September 2013

11th November 2013

16th December 2013